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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/830,920	07/31/2001	Satoshi Kondo	60188-520	5216

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EXAMINER

FLETCHER, JAMES A

ART UNIT	PAPER NUMBER
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2616

DATE MAILED: 12/01/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/830,920

Applicant(s)

KONDO, SATOSHI

Examiner

James A. Fletcher

Art Unit

2616

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 02 September 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1, 3-5 and 7-10 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1, 3-5, and 7-10 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Response to Arguments

1. Applicant's arguments filed 2 September 2005 have been fully considered but they are not persuasive.

In re page 7, Applicant's Representative states: "Magee fails to disclose converting the 'bit rate of the first TS packet string formed from TS packets that have a prescribed packet identifier'."

The Examiner respectfully disagrees. The sample rate conversion of Magee et al is understood by those of skill in the art to be a method of converting the bit rate of a digitized signal. While Magee et al are not specific about which packets are subject to sample rate conversion, clearly they do not exclude any particular packets, and therefore it is reasonable to conclude that they include the broadly claimed first TS packet string from TS packets that have a prescribed packet identifier.

In re page 8, Applicant's Representative states: "Magee is silent with regard to separating a first transport stream into a first TS packet string formed from TS packets that have a prescribed packet identifier of at least one of video data and audio data and a second TS packet string formed from TS packets that do not have the prescribed packet identifier."

The Examiner respectfully disagrees. Magee et al clearly disclose separating a multiplexed transport stream into at least first and second component packet strings based on an identifier of audio or video data, as shown in Col 9, line 21 – Col 10, line 6.

In re page 11, Applicant's Representative states: "nowhere does Magee disclose or suggest determining, with reference to the dwell time which is added to the PCR, the time of receipt of each TS packet forming any second transport stream, or recording any second transport stream with the determined time of receipt onto a recording medium, as recited by claim 4."

The Examiner again respectfully disagrees. Although Magee et al do not specifically disclose recording of the output stream, the Examiner has taken official notice that recording of such streams is notoriously well known. Magee et al do specifically disclose the remaining elements of the claim, as analyzed and discussed in the previous office action.

Further in re page 11, Applicant's Representative states: "the Examiner has neither identified which element of Magee corresponds to the time of receipt of each of the alleged TS packets forming any second transport stream."

The Examiner again respectfully disagrees, but to clarify, in Col 7, lines 24-27, Magee et al disclose a "PCR fixer circuit which records a time stamp of a local clock for each received transport packet bearing a PCR." Since the second transport stream is derived from the first, the time stamp for receipt of the packets of the first stream is clearly applicable to any packets derived from it.

In re page 12, Applicant's Representative states: "Magee fails to disclose or suggest a stream recording method comprising a step of selecting TS packets other than TS packets having a packet identifier of at least one of video data and audio data from a first transport stream."

The Examiner respectfully disagrees. Magee et al clearly disclose a stream selection method of determining packet identifiers of an incoming stream, and those identifiers can identify packets of audio, video, data, etc. (Col 9, lines 34-55). The Examiner took official notice that recording of data streams is notoriously well known, as analyzed and discussed in the previous office action.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. Claims 1 and 3 are rejected under 35 U.S.C. 102(b) as being anticipated by Magee et al (5,835,493).

Regarding claim 1, Magee et al disclose a stream converting method comprising:

- separating a first transport stream (TS) into a first TS packet string formed from TS packets that have a prescribed packet identifier (Col 9, lines 22-26 “Depending on the PID of each transport packet, the DLM 110 extracts and transfers the transport packet onto the DM bus for assembly into the outputted remultiplexed transport stream by the scheduler 141”) of at last one of video data and audio data (Col 2, lines 20-21 “Each transport packet can carry PES packet data, e.g., private data, video data, or audio data”) and a

second TS packet string formed from TS packets that do not have the prescribed packet identifier (Col 9, lines 26-28 "Furthermore, depending on the PID of each transport packet, the DLM 110 extracts and captures the transport packet for transfer on the C bus");

- converting a bit rate of the first TS packet string so as to produce a third TS packet string (Col 3, lines 39-41 "The video preprocessor module 17 performs different kinds of analysis and modification of the inputted digital video such as sample rate conversion"); and
- multiplexing the produced third TS packet string and the second TS packet string so as to produce a second transport stream (Col 8, lines 1-4 "a flexible remultiplexer architecture is provided for remultiplexing one or more higher layered transport streams to selectively include one or more programs, or elementary streams of programs, carried therein").

Regarding claim 3, Magee et al disclose a stream converting method comprising:

- extracting reference time information from the first transport stream so as to produce reference time from the reference time information (Col 12, lines 33-35 "each transport stream carries PCR's for recovering a program clock of each program carried therein");
- determining, with reference to the reference time, time of receipt of a TS packet including a head byte of a PES packet in the first TS packet string as

first time of receipt (Col 12, lines 42-43 "the DLM 110 keeps track of the time each transport packet carrying a PCF is received");

- determining, with reference to the reference time, time of receipt of a head byte of each TS packet forming the second TS packet string as second time of receipt (Col 12, lines 44-45 "The DLM 110 also keeps track of when the PCR bearing transport packet is transferred on the DM bus"); and
- selecting from the second TS packet string a TS packet corresponding to the second time of receipt for output as the second transport stream, when the delayed reference time matches the second time of receipt (Col 12, lines 44-49 "Prior to transfer, the DLM 110 determines the 'dwell' time or time in which the PCR bearing transport packet has been enqueued in the DLM 110. This dwell time is added to the PCR of the transport packet prior to transfer on the DM bus").

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 4-5 and 7-10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Magee et al.

Regarding claim 4, Magee et al disclose a stream recording method comprising:

- separating a first transport stream into a first TS packet string formed from TS packets that have a prescribed packet identifier (Col 9, lines 22-26
“Depending on the PID of each transport packet, the DLM 110 extracts and transfers the transport packet onto the DM bus for assembly into the outputted remultiplexed transport stream by the scheduler 141”) of at last one of video data and audio data (Col 2, lines 20-21 “Each transport packet can carry PES packet data, e.g., private data, video data, or audio data”) and a second TS packet string formed from TS packets that do not have the prescribed packet identifier (Col 9, lines 26-28 “Furthermore, depending on the PID of each transport packet, the DLM 110 extracts and captures the transport packet for transfer on the C bus”);
- converting a bit rate of the first TS packet string so as to produce a third TS packet string (Col 3, lines 39-41 “The video preprocessor module 17 performs different kinds of analysis and modification of the inputted digital video such as sample rate conversion”);
- multiplexing the produced third TS packet string and the second TS packet string so as to produce a second transport stream (Col 8, lines 1-4 “a flexible remultiplexer architecture is provided for remultiplexing one or more higher layered transport streams to selectively include one or more programs, or elementary streams of programs, carried therein”);
- extracting reference time information from the first transport stream (Col 12, lines 33-35 “each transport stream carries PCR’s for recovering a program

clock of each program carried therein”), and delaying reference time represented by the reference time information by a prescribed time so as to produce delayed reference time (Col 12, lines 44-48 “Prior to transfer, the DLM 110 determines the ‘dwell’ time or time in which the PCR bearing transport packet has been enqueued in the DLM 110”) and

- determining, with reference to the delayed reference time, time of receipt of each TS packet forming the second transport stream (Col 12, lines 48-49 “This dwell time is added to the PCR of the transport packet prior to transfer on the DM bus”).
- Magee et al suggest recording the output (Col 5, lines 29-30 “The output formatter converts the transport packet data into a format suitable for transfer to a downstream device”), but do not specifically disclose that device as a recording medium.

The examiner takes official notice that devices for recording packetized video and audio data are well-known, widely used, and commercially available to the general public, and provide a means for storing audio and video programs for viewing at times convenient to the user.

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify Magee et al to include recording of the remultiplexed bit stream.

Regarding claim 5, Magee et al disclose a stream recording method comprising:

- selecting TS packets other than TS packets having a prescribed packet identifier of at least one of video data and audio data (Col 2, lines 20-21 “Each transport packet can carry PES packet data, e.g., private data, video data, or audio data”) from a first transport stream so as to output the selected TS packets as a second transport stream (Col 9, lines 26-28 “Furthermore, depending on the PID of each transport packet, the DLM 110 extracts and captures the transport packet for transfer on the C bus”);
- extracting reference time information from the first transport stream so as to produce reference time from the reference time information (Col 12, lines 33-35 “each transport stream carries PCR’s for recovering a program clock of each program carried therein”); and
- determining, with reference to the reference time, time of receipt of each TS packet forming the second transport stream (Col 12, lines 42-43 “the DLM 110 keeps track of the time each transport packet carrying a PCF is received”),
- Magee et al suggest recording the output (Col 5, lines 29-30 “The output formatter converts the transport packet data into a format suitable for transfer to a downstream device”), but do not specifically disclose that device as a recording medium.

The examiner takes official notice that devices for recording packetized video and audio data are well-known, widely used, and commercially

available to the general public, and provide a means for storing audio and video programs for viewing at times convenient to the user.

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify Magee et al to include recording of the remultiplexed bit stream.

Regarding claim 7, Magee et al do not disclose a stream recording method characterized in that the recording medium is an optical disk.

The examiner takes official notice that optical disks are well-known, widely used, and commercially available to the general public, and provide a means for storing audio and video programs for viewing at times convenient to the user.

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify Magee et al to include recording of the remultiplexed bit stream on an optical disk.

Regarding claims 8 and 9, Magee et al disclose a stream converting apparatus comprising:

- a packet separating section for separating a first transport stream into a first TS packet string formed from TS packets that have a prescribed packet identifier (Col 9, lines 22-26 "Depending on the PID of each transport packet, the DLM 110 extracts and transfers the transport packet onto the DM bus for assembly into the outputted remultiplexed transport stream by the scheduler 141") of at least one of video data and audio data (Col 2, lines 20-21 "Each transport packet can carry PES packet data, e.g., private data, video data, or

audio data”) and a second TS packet string formed from TS packets that do not have the prescribed packet identifier (Col 9, lines 26-28 “Furthermore, depending on the PID of each transport packet, the DLM 110 extracts and captures the transport packet for transfer on the C bus”);

- a bit-rate converting section for converting a bit rate of the first TS packet string so as to produce a third TS packet string (Col 3, lines 39-41 “The video preprocessor module 17 performs different kinds of analysis and modification of the inputted digital video such as sample rate conversion”);
- a packet multiplexing section for multiplexing the third TS packet string output from the bit-rate converting section and the second TS packet string output from the packet separating section so as to produce a second transport stream (Col 8, lines 1-4 “a flexible remultiplexer architecture is provided for remultiplexing one or more higher layered transport streams to selectively include one or more programs, or elementary streams of programs, carried therein”);
- a means for extracting reference time information from the first transport stream (Col 12, lines 33-35 “each transport stream carries PCR’s for recovering a program clock of each program carried therein”), and delaying reference time represented by the reference time information by a prescribed time so as to produce delayed reference time (Col 12, lines 44-48 “Prior to transfer, the DLM 110 determines the ‘dwell’ time or time in which the PCR bearing transport packet has been enqueued in the DLM 110”); and

- a recording control section for determining, with reference to the delayed reference time, time of receipt of each TS packet forming the second transport stream (Col 12, lines 48-49 "This dwell time is added to the PCR of the transport packet prior to transfer on the DM bus")
- Magee et al suggest recording the output (Col 5, lines 29-30 "The output formatter converts the transport packet data into a format suitable for transfer to a downstream device"), but do not specifically disclose that device as a recording medium.

The examiner takes official notice that devices for recording packetized video and audio data are well-known, widely used, and commercially available to the general public, and provide a means for storing audio and video programs for viewing at times convenient to the user.

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify Magee et al to include recording of the remultiplexed bit stream.

Regarding claim 10, Magee et al disclose a stream recording apparatus comprising:

- a packet selecting section for selecting TS packets other than TS packets having a prescribed packet identifier of at last one of video data and audio data (Col 2, lines 20-21 "Each transport packet can carry PES packet data, e.g., private data, video data, or audio data") from a first transport stream so as to output the selected TS packets as a second transport stream (Col 9,

lines 26-28 "Furthermore, depending on the PID of each transport packet, the DLM 110 extracts and captures the transport packet for transfer on the C bus");

- a means for extracting reference time information from the first transport stream so as to produce reference time from the reference time information (Col 12, lines 33-35 "each transport stream carries PCR's for recovering a program clock of each program carried therein"); and
- a recording control section for determining, with reference to the reference time, time of receipt of each TS packet forming the second transport stream (Col 12, lines 42-43 "the DLM 110 keeps track of the time each transport packet carrying a PCF is received")
- Magee et al suggest recording the output (Col 5, lines 29-30 "The output formatter converts the transport packet data into a format suitable for transfer to a downstream device"), but do not specifically disclose that device as a recording medium.

The examiner takes official notice that devices for recording packetized video and audio data are well-known, widely used, and commercially available to the general public, and provide a means for storing audio and video programs for viewing at times convenient to the user.

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify Magee et al to include recording of the remultiplexed bit stream.

Conclusion

6. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

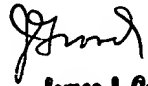
Any inquiry concerning this communication or earlier communications from the examiner should be directed to James A. Fletcher whose telephone number is (571) 272-7377. The examiner can normally be reached on 7:45-5:45 M-Th, first Fridays off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James Groody can be reached on (571) 272-7950. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2616

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

JAF
11/22/05


James J. Groody
Supervisory Patent Examiner
Art Unit 262-2616